

## Fee tariff data

### Regulatory fees and levies 2024/25

#### Fee-blocks A013 and I008 or I009

<b>FCA</b>	<b>Tariff base</b>	<b>Annual income – investment mediation</b>
<b>FOS</b>	<b>Tariff base</b>	<b>Relevant annual income – investment mediation</b>
<b>FCA/FOS</b>	<b>Valuation date</b>	<b>For financial year ended in the calendar year ending 31 December 2023</b>

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA), Financial Guidance Levy (FGL) and the Financial Ombudsman Service (FOS). We provide separate guidance for data relevant to the [FSCS levies](#).

This guidance sheet provides details on the data to include within the firm's fee tariff data submission. We have given links to relevant parts of our Handbook to help you complete the form correctly. This sheet covers the following fee-blocks:

- **FCA fee-block A013**
- **FOS industry block I008 or I009**

For more information on this, including which regulated activities place firms into the above fee-blocks, please refer to the fees manual ([FEES](#)).

Each year we consult on any proposed fee policy changes and fee tariff bases may be subject to change. So before submitting the fee tariff data, you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when any changes will be implemented.

For publications and further information on fees and levies, visit our [fees webpages](#).

#### Notes:

Your firm is allocated to FSCS class 2 category 1, life distribution and investment intermediation (CLII-1), which replaced FSCS classes SC02 and SD02. The levy for this category continues to be based on annual eligible income, but with a change to reporting pure protection business. See the guidance for [FSCS category CLII-1](#).

## FEES AND LEVIES 2024/25 – REQUEST FOR FEE-TARIFF DATA

**FCA fee-block: A013 – advisors, arrangers, dealers or brokers**

**Tariff base: annual income for your financial year ended in 2023**

Annual income is defined in [FEES 4 Annex 11AR](#); guidance is set out in [FEES 4 Annex 13G](#)

**Note: The annual income submitted for fee block A13 should not include the following**

- 1. income from corporate finance business (reported under fee block A014)**
  - 2. income from managing investments, collective investment schemes or pension schemes (fee block A007 & A009)**
  - 3. income in relation to safeguarding or administering assets and holding client money or assets permissions (fee block A021)**
- Refer to A014, A007, A009 or A021 guidance when completing the fee tariff data for these fee blocks**

**FOS Industry Block: I009 – Advisory arrangers, dealers or brokers (NOT holding or controlling client money and/or assets)**

**or**

**FOS industry block: I008 – advisory arrangers, dealers or brokers (holding or controlling client money and/or assets)**

**Tariff Base - relevant annual income for your financial year ended in 2023**

Firms in industry block I008 must include annual income in relation to their safeguarding or administering assets or holding client money or assets permissions.

The FOS levy is based on business done with consumers and which is subject to the jurisdiction of the Financial Ombudsman Service (see [DISP 2.3](#)). Firms should only include income in relation to consumers.

If the firm has a valid FOS exemption no data is required.

<b>e-mail: <a href="mailto:feetariffreturns@fca.org.uk">feetariffreturns@fca.org.uk</a></b>	<b>Tel: 0300 500 0597</b>	<b>Mail: FCA - Revenue Dept. Tariff Data Collection 12 Endeavour Square London E20 1JN</b>
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## FEES AND LEVIES 2024/25 – REQUEST FOR FEE-TARIFF DATA

### IMPORTANT

**Firms registered for online invoicing must submit the data online; any completed paper forms should be emailed to [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) before the date shown on the front of your fee tariff data letter.**

#### **Before submission please ensure:**

- FCA and FOS tariff data has been completed in whole numbers and are in GBP
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, for example the compliance director, before submitting to us

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